

**SWASTIKA INVESTMART LIMITED**

**CIN:** L65910MH1992PLC067052

**REG. OFFICE:** FLAT NO18 FLOOR 2 NORTH WING MADHAVESHWAR COOP  
HSG SOCIETY LTD MADHAV NAGAR, 11/12 S V ROAD ANDHERI W MUMBAI  
(M.H.) – 400058.

**INVESTMENT ADVISORY NO.:** INA000009843.

In compliance with SEBI Circular **SEBI/HO/IMD/DF1/CIR/P/2019/169**, dated December 27<sup>th</sup>, 2019, Clause 1 (iv), we Swastika Investmart Limited, being a Investment Advisory, hereby providing the complaint status for the month of December, 2019 as below:

<b>Number of complaints for the Month of December, 2019</b>				
<b>At the beginning of the month</b>	<b>Received during the month</b>	<b>Resolved during the month</b>	<b>Pending at the end of the month</b>	<b>Reasons for pendency</b>
NIL	NIL	NIL	NIL	NA